

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.ocwa.org/o23.html
2. As required by section 2800(9) of PAL, did the authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.ocwa.org/o23.html
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the authority?	No	N/A
5. Does the authority have an organization chart?	Yes	http://www.ocwa.org/o12.html
6. Are any authority staff also employed by another government agency?	No	

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.ocwa.org/o12.html
4. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
5. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.ocwa.org/minutes.html
6. Has the Board adopted a statement of Board duties and responsibilities?	Yes	http://www.ocwa.org/o12.html
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.ocwa.org/o12.html
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ocwa.org/o12.html
9. Does the Board review and monitor the authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Is there a separation of the Board and CEO/Senior Management positions in accordance with Section 2824(3) of PAL?	Yes	N/A
12. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
13. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
14. Are the authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A

Board of Directors Listing

Name	Chair?	If Yes, Chair Designated By	Appointed By	Nominated By	Confirmed by Senate	Complied w/Training Requirement Of Section 2824	Also holds elected or appointed State government position?	Also holds elected or appointed municipal government position?	Term Start Date	Term Expiration Date
Picardi, Ferdinand	No		Local	Local		Yes	No	No	06/03/2008	07/01/2010
Simmons, Wayne	No		Local	Local		Yes	No	No	12/16/2008	07/01/2010
Tomeny, Robert	Yes	Elected by Board	Local	Local		Yes	No	No	12/15/2009	07/01/2012
Miller, Susan A	No		Local	Local		No	No	No	12/15/2009	07/01/2011
Gilligan, Eileen D	No		Local	Local		No	No	No	12/15/2009	07/01/2011

Staff Bulk Load Worksheet

Version 1.5

Staff

Columns whose names contain "*" are required and must have values for each record to be loaded. Do not enter blank lines, as a blank line (a line with no information) will be regarded as the end of the file. Do not enter commas, letters or \$ signs in numeric fields.

This worksheet must be saved as type "csv" in order to be uploaded to PARIS. Select "Save As" from the "File" menu above and select "CSV (comma delimited)" as the file type.

Note: Most cells have some level of validation, however, validation in Excel only functions when you actually type data in the cell. It is recommended that you selectively check validation in rows that you have copied data into.

*Last Name	*First Name	*Title	*Group	* Full Time/ Part Time	* Exempt	*Annualized Salary paid by Authority	*Total Compensation paid by Authority	* Is the individual also paid by another entity to perform the work of the Authority?
Geiss Jr	Anthony	Deputy Executive Director	Executive	FT	Y	113,826.41	114,104.40	N
Hooker	Michael	Executive Director	Executive	FT	Y	134,094.27	134,068.96	N
Pickard	Terry	Counsel	Professional	PT	Y	101,612.70	101,585.96	N

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Gilligan, Eileen D	Board of Directors												X	
Miller, Susan A	Board of Directors												X	
Picardi, Ferdinand	Board of Directors												X	
Simmons, Wayne	Board of Directors												X	
Tomeny, Robert	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Geiss Jr, Anthony	Deputy Executive Director				X									
Hooker, Michael	Executive Director				X									
Pickard, Terry	Counsel												X	

Subsidiary/Affiliate Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other affiliates or subsidiaries of the authority that are active, not included in the PARIS reports submitted by this auth No

Name of Subsidiary/Affiliate	Status	Requested Changes
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Subsidiary/Affiliate Creation

Name of Subsidiary/Affiliate	Establishment Date	Entity Purpose
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Subsidiary/Affiliate Termination

Name of Subsidiary/Affiliate	Termination Date	Termination Reason	Proof Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$98,481
Investments	\$0
Receivables, net	\$5,735,167
Other assets	\$2,230,583
Total Current Assets	\$8,064,231
Noncurrent Assets	
Restricted cash and investments	\$13,486,407
Long-term receivables, net	\$0
Other assets	\$723,879
Capital Assets	
Land and other nondepreciable property	\$819,764
Buildings and equipment	\$33,259,233
Infrastructure	\$226,435,727
Accumulated depreciation	\$70,527,631
Net Capital Assets	\$189,987,093
Total Noncurrent Assets	\$204,197,379
Total Assets	\$212,261,610

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$5,257,770
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$1,729,214
Deferred revenues	\$0
Bonds and notes payable	\$2,175,378
Other long-term obligations due within one year	\$105,875
Total Current Liabilities	\$9,268,237

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$3,142,428
Bonds and notes payable	\$43,705,686
Other long-term obligations	\$551,000
Total Noncurrent Liabilities	\$47,399,114

Total Liabilities

\$56,667,351Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$143,808,022
Restricted	\$6,525,046
Unrestricted	\$5,261,191
Total Net Assets	\$155,594,259

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$30,127,444
Rental & financing income	\$0
Other operating revenues	\$1,131,777
Total Operating Revenue	\$31,259,221

Operating Expenses

Salaries and wages	\$6,877,437
Other employee benefits	\$3,529,916
Professional services contracts	\$138,276
Supplies and materials	\$696,729
Depreciation & amortization	\$4,568,185
Other operating expenses	\$13,241,121
Total Operating Expenses	\$29,051,664

Operating Income (Loss) **\$2,207,557**

Nonoperating Revenues

Investment earnings	\$9,094
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$9,094

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$1,339,501
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$42,950
Total Nonoperating Expenses	\$1,382,451
Income (Loss) Before Contributions	\$834,200
Capital Contributions	\$1,053,949
Change in net assets	\$1,888,149
Net assets (deficit) beginning of year	\$153,706,110
Other net assets changes	\$0
Net assets (deficit) at end of year	\$155,594,259

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
OCWA - Western 20MG & Eastern 30MG Water Storage Tanks	Refunding 0.00 New 13,671,633.00 Total 13,671,633.00		03/12/2009		Negotiated	1	Variable	30	263,471.00		

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	32,801,510.00	13,671,633.00	1,285,000.00	45,188,143.00
Other Non-State Funded					
Conduit Debt					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: 2001 International Dump TruckModel 4700

Estimated Fair Market Value: \$9,519.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 10/16/2009

Sale Price: \$9,519.00

Organization:

Last Name: Strait

First Name: Greg

Purchaser Address

Address Line1: 101 Forsythe Ave

Address Line2:

City: CAMILLUS

State: NY

Postal Code: 13031

Plus4:

Province/Region:

Country: USA

2. Property Description: 2000 John Deere 310G Backhoe

Estimated Fair Market Value: \$16,689.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 10/16/2009

Sale Price: \$16,689.00

Organization:

Last Name: Greg

First Name: Strait

Purchaser Address

Address Line1: 101 Forsythe Ave

Address Line2:

City: CAMILLUS

State: NY

Postal Code: 13031

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the authority is required to prepare a report at least annually of all real property of the authority. Has this report been prepared?	Yes	http://www.ocwa.org/o23.html
2. Has the authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ocwa.org/o12.html
3. In accordance with Section 2896(1) of PAL, has the authority named a contracting officer who shall be responsible for the authority's compliance with and enforcement of such guidelines?	Yes	

IDA Projects Summary Information:

Additional Comments: